

GAU 1626 PTO/SB/21 (12-97)

O I P E Please type a plus sign (+) inside this box +

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. Department of Commerce

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid control number.

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

AUG 28 2000
PATENT & TRADEMARK OFFICE
SC18

		Application Number	09/243,568
		Filing Date	February 3, 1999
		First Named Inventor	Uwe Schonrock et al.
		Group Art Unit	1626
		Examiner Name	J. Murray
Total Number of Pages in This Submission		Attorney Docket Number	Beiersdorf 545.1- CAO

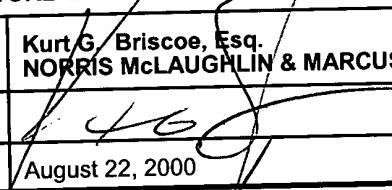
RECEIVED
SEP 05 2000
TECH CENTER 1600/2900

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip(PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) - (please identify below):
--	--	--

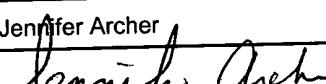
Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kurt G. Briscoe, Esq. NORRIS McLAUGHLIN & MARCUS, P.A.	
Signature		
Date	Reg. No. 33,141 August 22, 2000	

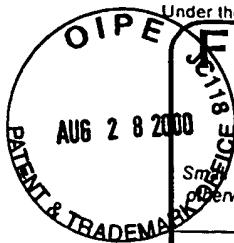
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Commissioner for Patents, Washington, D.C. 20231 on this date: August 22, 2000

Typed or printed name	Jennifer Archer	Date	August 22, 2000
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



FEES TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 380.00)

Complete if Known

Application Number	09/243,568
Filing Date	February 3, 1999
First Named Inventor	Uwe Schonrock et al.
Examiner Name	J. Murray
Group / Art Unit	1626
Attorney Docket No.	Beiersdorf 545.1-CAO

RECEIVED
SEP 05 2000
TECH CIVILIAN BOARD

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: Norris McLaughlin & Marcus Deposit Account Name: 14-1263 <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190</td> <td>Extension for reply within second month</td> <td>380.00</td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,360</td> <td>218</td> <td>680</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228</td> <td>925</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>690</td> <td>246</td> <td>345</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>690</td> <td>249</td> <td>345</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 0)</td> <td colspan="4">SUBTOTAL (3) (\$ 380.00)</td> </tr> <tr> <td colspan="4">2. EXTRA CLAIM FEES</td> <td colspan="4"> Extra Claims Fee from below Fee Paid Total Claims -20** = X = Independent Claims - 3** = X = Multiple Dependent = X = </td> </tr> <tr> <td colspan="4"> **or number previously paid, if greater; For Reissues, see below Large Entity Small Entity Fee Code (\$) </td> <td colspan="4"></td> </tr> <tr> <td colspan="4"> Fee Code (\$) </td> <td colspan="4"> Fee Description </td> </tr> <tr> <td colspan="4"> 103 18 203 9 Claims in excess of 20 </td> <td colspan="4"></td> </tr> <tr> <td colspan="4"> 102 78 202 39 Independent claims in excess of 3 </td> <td colspan="4"></td> </tr> <tr> <td colspan="4"> 104 260 204 130 Multiple dependent claim, if not paid </td> <td colspan="4"></td> </tr> <tr> <td colspan="4"> 109 78 209 39 ** Reissue independent claims over original patent </td> <td colspan="4"></td> </tr> <tr> <td colspan="4"> 110 18 210 9 ** Reissue claims in excess of 20 and over original patent </td> <td colspan="4"></td> </tr> <tr> <td colspan="4">SUBTOTAL (2) (\$ 0)</td> <td colspan="4">Reduced by Basic Filing Fee Paid</td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	380	216	190	Extension for reply within second month	380.00	117	870	217	435	Extension for reply within third month		118	1,360	218	680	Extension for reply within fourth month		128	1,850	228	925	Extension for reply within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))		SUBTOTAL (1) (\$ 0)				SUBTOTAL (3) (\$ 380.00)				2. EXTRA CLAIM FEES				Extra Claims Fee from below Fee Paid Total Claims -20** = X = Independent Claims - 3** = X = Multiple Dependent = X =				**or number previously paid, if greater; For Reissues, see below Large Entity Small Entity Fee Code (\$)								Fee Code (\$)				Fee Description				103 18 203 9 Claims in excess of 20								102 78 202 39 Independent claims in excess of 3								104 260 204 130 Multiple dependent claim, if not paid								109 78 209 39 ** Reissue independent claims over original patent								110 18 210 9 ** Reissue claims in excess of 20 and over original patent								SUBTOTAL (2) (\$ 0)				Reduced by Basic Filing Fee Paid			
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																																																				
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																					
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																																					
139	130	139	130	Non-English specification																																																																																																																																																																																																																																																					
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																																																																					
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																					
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																					
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																					
116	380	216	190	Extension for reply within second month	380.00																																																																																																																																																																																																																																																				
117	870	217	435	Extension for reply within third month																																																																																																																																																																																																																																																					
118	1,360	218	680	Extension for reply within fourth month																																																																																																																																																																																																																																																					
128	1,850	228	925	Extension for reply within fifth month																																																																																																																																																																																																																																																					
119	300	219	150	Notice of Appeal																																																																																																																																																																																																																																																					
120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																																																																																					
121	260	221	130	Request for oral hearing																																																																																																																																																																																																																																																					
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																					
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																					
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																																					
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																					
143	430	243	215	Design issue fee																																																																																																																																																																																																																																																					
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																																					
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																					
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																					
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																					
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																					
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																					
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																					
SUBTOTAL (1) (\$ 0)				SUBTOTAL (3) (\$ 380.00)																																																																																																																																																																																																																																																					
2. EXTRA CLAIM FEES				Extra Claims Fee from below Fee Paid Total Claims -20** = X = Independent Claims - 3** = X = Multiple Dependent = X =																																																																																																																																																																																																																																																					
**or number previously paid, if greater; For Reissues, see below Large Entity Small Entity Fee Code (\$)																																																																																																																																																																																																																																																									
Fee Code (\$)				Fee Description																																																																																																																																																																																																																																																					
103 18 203 9 Claims in excess of 20																																																																																																																																																																																																																																																									
102 78 202 39 Independent claims in excess of 3																																																																																																																																																																																																																																																									
104 260 204 130 Multiple dependent claim, if not paid																																																																																																																																																																																																																																																									
109 78 209 39 ** Reissue independent claims over original patent																																																																																																																																																																																																																																																									
110 18 210 9 ** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																									
SUBTOTAL (2) (\$ 0)				Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Kurt G. Briscoe	Registration No. (Attorney/Agent)	33,141	Telephone 914 332 1700
Signature	<i>Kurt G. Briscoe</i>		Date	August 22, 2000

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Beiersdorf 545.1-CAO
6713-Dr. Wi-ar

J. LUCK
18/E
RECEIVED
SEP 05 2000
TECH CENTER 1600/2300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : UWE SCHONROCK ET AL.
SERIAL NO. : 09/243,568
FILED : February 3, 1999
FOR : USE OF FLAVONES, FLAVANONES AND FLAVONOIDS
FOR PROTECTING ASCORBIC ACID AND/OR ASCORBYL
COMPOUNDS FROM OXIDATION
ART UNIT : 1626
EXAMINER : J. Murray

August 22, 2000

Hon. Commissioner of Patents
Washington, D.C. 20231

AMENDMENT UNDER 37 CFR § 1.111

SIR:

In response to the Office Action dated March 22, 2000, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 22, line 4, after "dermatologically effective amount of" insert -- α-glucosylrutin--, and then a period, and cancel the balance of the claim.